

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS  
REPORTNEW  
(N)

OR

AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☐ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Year-End Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election  
Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election  
Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

- ☐ Primary (12P) ☒ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

11

08

2016

in the  
State of(d) 30-Day  
POST-Election  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

in the  
State of

5. Covering Period

10

01

2016

through

10

19

2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Guarin, Cecilia, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Guarin, Cecilia, , ,

[Electronically Filed]

Date

10

24

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
10 / 01 / 2016 To: M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2016</span>		<span style="border: 1px solid black; padding: 2px;">316582.93</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">290678.83</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">13313.62</span>	<span style="border: 1px solid black; padding: 2px;">258951.19</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">303992.45</span>	<span style="border: 1px solid black; padding: 2px;">575534.12</span>
7. Total Disbursements (from Line 31).....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	<span style="border: 1px solid black; padding: 2px;">271541.67</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<span style="border: 1px solid black; padding: 2px;">303992.45</span>	<span style="border: 1px solid black; padding: 2px;">303992.45</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		0	1		2	0	1	6

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		1	9		2	0	1	6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	10249.32	175657.59
(ii) Unitemized .....	2064.30	82293.60
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	12313.62	257951.19
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	12313.62	257951.19
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	1000.00	1000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	13313.62	258951.19
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	13313.62	258951.19

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	271500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	41.67
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.67
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	271541.67
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	271541.67

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	12313.62	257951.19
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	41.67
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	12313.62	257909.52
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....▶	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....▶	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Chung, Thomas, T., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113243512671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Alterman, David, S., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113243612671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Nieters, Douglas, J., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113244712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

104.17

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Caruso, Michael, J.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113245812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Lusardi, Francis, H.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113246312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Campbell, Chris, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113247012671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

62.50

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Dougherty, Kenneth, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113247812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Lodge, Gerald, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113249912671

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ritchie, Thomas, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113250612671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

145.82

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 9 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Plissner, Adam, B, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113251312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Oxman, John, Craig, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113252112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Perry, Timothy, E., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113252412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

62.49

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 10 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Speller, Michael, C, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113253012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Cohen, Jeffrey, A., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1994.84

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113253912671

Amount of Each Receipt this Period

401.04

☐ Memo Item

P/R Deduction (\$401.04 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Robertshaw, John, C, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113254312671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

463.53

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Aitken, Patrice, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113254412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Wirshba, Lewis, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113254612671

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Hightower, Richard, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113254712671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

166.66

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Isikow, Michael, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113255012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Paliotta, Michael, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113256512671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. O'Keefe, Paul, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113256712671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

166.66

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Baranetz, Oleg, N, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113258212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Captain, James, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113258412671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Hutter, Andrew, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113259612671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

83.33

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Ford, Jill, E, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR113261312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Geller, Steven, K, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR113261812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Meyer, Peter, V, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR113262212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

62.49

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Jaenicke, Jeffrey, W, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113263212671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Miller, Daniel, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113263412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Chen, Hui, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113263912671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

83.33

TOTAL This Period (last page this line number only).....▶



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 77  
 (check only one)  
☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Knauss, Orlando, W, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113264612671**

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Gujral, Gautam, S, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113264912671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Sailer, Constance, V, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113265312671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

187.49

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Kaiman, Steven, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113266512671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Patry, Brent, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113266712671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Murphy, Emmet, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113267312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

62.50

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Chiodi, Maria, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113270012671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DeVito, Jodi, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113272312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Seeder, Skip, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113272512671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

104.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Healey, Carol, De, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

722.28

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113273312671

Amount of Each Receipt this Period

55.56

☐ Memo Item

P/R Deduction (\$55.56 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Beck, William, E., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113275712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Lukeman, Joshua, A., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113279412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

97.22

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Zhang, Jiawei, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113284412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Dale, Adrienne, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113287612671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ponko, Jeffrey, C., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113288912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

62.49

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Fisher, John, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113292112671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Skerritt, Dwight, A.L., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113292912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Welch, Tracy, L., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113293912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

62.50

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Reis, Steven, J.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113294112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Smith, Judith, E.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113295912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Prevost, Thomas, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR113296612671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

83.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 23 OF 77

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Raincsuk, William, L., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113297512671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Cook, Jamie, L., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113297812671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Gray, Sean, C., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

486.12

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113299512671**

Amount of Each Receipt this Period

27.78

☐ Memo Item

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

90.27



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 77  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Federbusch, Andrew, B, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

869.81

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113299912671**

Amount of Each Receipt this Period

26.05

☐ Memo Item

P/R Deduction (\$26.05 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Naughton, Kevin, E, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113302612671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Bock, Steven, M., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR113303212671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

67.71



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Amine, James, L, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.46

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123642712671

Amount of Each Receipt this Period

208.34

☐ Memo Item

P/R Deduction (\$208.34 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Arnaboldi, Nicole, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123642812671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Basso, Robert, L, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123643412671

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

354.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 77

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Gray, Stephen, E., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

**Transaction ID : PR123650812671**

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Griffith, Robert, E., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

**Transaction ID : PR123651112671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Haratunian, Stephen, H., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

**Transaction ID : PR123652212671**

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.99

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Keatley, Eric, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123653912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kumanduri, Ramanujachary, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123655012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Machlis, Roger, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123656312671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

62.50

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Michel, Timothy, F, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR123658212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Natella, Stefano, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR123659012671

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Orenbuch, Moshe, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

593.75

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR123659412671

Amount of Each Receipt this Period

31.25

☐ Memo Item

P/R Deduction (\$31.25 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

156.24

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Soltys, Edward, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123663812671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Trotter, Donatina, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR123665312671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ervin, Dean, Wilson, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

2888.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR126913912671

Amount of Each Receipt this Period

222.22

☐ Memo Item

P/R Deduction (\$222.22 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

305.54

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 30 OF 77

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Simberg, Stephen, D, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR126926712671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Dunn, Suanne, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

433.29

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR126927112671**

Amount of Each Receipt this Period

33.33

☐ Memo Item

P/R Deduction (\$33.33 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. O'Hara, Timothy, P, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR126928012671**

Amount of Each Receipt this Period

208.33

☐ Memo Item

P/R Deduction (\$208.33 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

283.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Lindsay, David, Scott, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR178715112671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Cairns, Alastair, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR178844912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Carter, Christopher, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

522.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR178845012671

Amount of Each Receipt this Period

27.50

☐ Memo Item

P/R Deduction (\$27.50 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

152.50

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Malarkey, Frank, R, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR178846012671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Prashad, Nadya, A, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR178846312671**

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Szilasi, William, J, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR178846812671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

62.50



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Blond, Richard, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR222637512671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Hughes, William, F, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR222639412671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Lipner, Mara, B, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR222640512671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

104.15

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Macy, Todd, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR222640712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Ozgen, Shantha, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR222641812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Pasquill, Darlene, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

4062.40

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR222641912671

Amount of Each Receipt this Period

1000.00

☐ Memo Item

P/R Deduction (\$1000.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

1041.66

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Raghavan, Hari, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR222642112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Jakob, Michael, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR222644512671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Bernstone, Robert, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR223368112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

62.49

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Kontoleon, Anthony, K, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR223368312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Callahan, Mark, D, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR226207712671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Scanlon, Timothy, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR330286812671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

104.16

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Baumgartner, Kyle, C, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR330613412671

Amount of Each Receipt this Period

13.16

☐ Memo Item

P/R Deduction (\$13.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Fellner, Susan, R, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR330614812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Noonan, Paul, E, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR330618512671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

54.82

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Proskin, Michael, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR330619112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Collins, Garry, T, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335835012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Fried, Solomon, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

263.91

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335835312671

Amount of Each Receipt this Period

13.89

☐ Memo Item

P/R Deduction (\$13.89 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

55.55

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Anderson, Bruce, B., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

279.49

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335835912671

Amount of Each Receipt this Period

14.71

☐ Memo Item

P/R Deduction (\$14.71 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Drake, Thomas, J., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

279.49

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335837912671

Amount of Each Receipt this Period

14.71

☐ Memo Item

P/R Deduction (\$14.71 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Fulk, Robert, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

279.49

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335838612671

Amount of Each Receipt this Period

14.71

☐ Memo Item

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

44.13

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Gopalakrishnan, Balaji, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1117.58

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335838712671

Amount of Each Receipt this Period

58.82

☐ Memo Item

P/R Deduction (\$58.82 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Lal, Ishan, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335839712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Reifenberg, Alan, R., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR335840512671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

121.31



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 OF 77

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Siegenthaler, Craig, W, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR335841612671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Seabolt, Daniel, P, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.44

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR336237912671**

Amount of Each Receipt this Period

191.92

☐ Memo Item

P/R Deduction (\$191.92 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Chen, Alan, K, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

292.79

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR336832312671**

Amount of Each Receipt this Period

15.41

☐ Memo Item

P/R Deduction (\$15.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

249.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Deasy, Kenneth, R, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

292.79

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR336832412671

Amount of Each Receipt this Period

15.41

☐ Memo Item

P/R Deduction (\$15.41 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Alibrandi, Benny, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR384785412671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Oren, Ben, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR384787312671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

98.74

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Adler, Jonathan, B, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

722.44

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393157212671**

Amount of Each Receipt this Period

55.56

☐ Memo Item

P/R Deduction (\$55.56 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Bentham, Timothy, P, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393158012671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Buturovic, Edin, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393158612671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

118.05

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Chen, Howard, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393158812671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Gage, Margaret, M, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393160212671**

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Katz, David, H, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR393162112671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

145.83

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 OF 77  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Mansourian, Marc, A, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

Transaction ID : PR393162812671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. McAlea, Edward, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

Transaction ID : PR393163212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Shah, Parshu, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

Transaction ID : PR393164812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Smith, Jerry, L, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR393165212671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Swaminathan, Mahesh, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR393165912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Tittmann, Michael, E, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR393166012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

83.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 47 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Walker, James, B., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR393166512671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Berthoumieux, Gardy, A., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR438241512671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Bown, John, L., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR438241812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

104.15

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Dabbs, John, N, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438242412671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Healy, Douglas, T, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438243712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Kearsley, Guy, C., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438244712671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

83.32

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Mahajan, Anju, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

237.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438246012671

Amount of Each Receipt this Period

12.50

☐ Memo Item

P/R Deduction (\$12.50 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Moineau, Philip, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438246612671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Moody, Bernard, W., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438246712671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

95.82

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Myers, Richard, B., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438247012671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Norley, Peter, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438247212671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

c. O'Connell, Walter, Richard, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438247312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

83.32

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Rivers, Sondra, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438247812671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Satran, Jonathan, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438248212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Federman, Eric, A., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438249512671

Amount of Each Receipt this Period

83.33

☐ Memo Item

P/R Deduction (\$83.33 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.99

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Rankin, Robin, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438250712671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Tuck, Matthew, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR438253712671

Amount of Each Receipt this Period

208.33

☐ Memo Item

P/R Deduction (\$208.33 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Huntington, Robert, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR565107612671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

291.65

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Wallace, Karen, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.79

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR565108312671

Amount of Each Receipt this Period

31.25

☐ Memo Item

P/R Deduction (\$31.25 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Varvel, Eric, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

722.28

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR565108612671

Amount of Each Receipt this Period

55.56

☐ Memo Item

P/R Deduction (\$55.56 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Decker, Dean, J., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679680312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

107.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Driscoll, Allegra, S.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

687.46

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679680512671

Amount of Each Receipt this Period

62.50

☐ Memo Item

P/R Deduction (\$62.50 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Ernberg, Jens, J.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679680712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Garza, Carlos, A.,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679681112671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

104.17

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Louie, Nelson, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679682412671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Resnick, Vanessa, B., ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.67

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679683312671

Amount of Each Receipt this Period

31.25

☐ Memo Item

P/R Deduction (\$31.25 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Roepke, Timothy, D, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR679683512671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

156.25

TOTAL This Period (last page this line number only).....▶



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Toma, Melissa, Ann, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.80

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR679694312671**

Amount of Each Receipt this Period

13.89

☐ Memo Item

P/R Deduction (\$13.89 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. D'Alessio, Frank, T, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR680909612671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. De Maria III, Frank, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR680909712671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

97.23



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Hadid, Charles, J, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR680909912671**

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Handy, Brian, T, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR680910112671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Machado, Ignacio, M, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR680910912671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Young, Christopher, L, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR680911712671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Halpern, Jeffrey, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR680911812671

Amount of Each Receipt this Period

10.41

☐ Memo Item

P/R Deduction (\$10.41 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Simonian, Mark, S, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR680911912671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

93.73

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 59 OF 77

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Ivanov, Svilen, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR711755612671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kim, Jay, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR711935412671

Amount of Each Receipt this Period

104.16

☐ Memo Item

P/R Deduction (\$104.16 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Murphy, Stephen, F, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR713127312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

145.83

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Mandel, Jessica, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR750863612671

Amount of Each Receipt this Period

83.33

☐ Memo Item

P/R Deduction (\$83.33 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Edwards, John, D, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

554.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR750864512671

Amount of Each Receipt this Period

29.17

☐ Memo Item

P/R Deduction (\$29.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Gaertner, Christopher, W, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR750864612671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

154.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Shaffer, Charles, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR750865012671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Sherman, Neil, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR750865112671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Crabb, Steven, John, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR753289212671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

104.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Davidov, Thomas, C, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR757326912671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Fiske, Mary, Beth, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR757327112671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Malcolm, Darren, A, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR757327312671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

83.32

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Nadd-Aubert, Frederick, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR757327612671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Young, Lawrence, M, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR757327912671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Fleming, Robert, D, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

316.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR823785412671**

Amount of Each Receipt this Period

16.67

☐ Memo Item

P/R Deduction (\$16.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

79.17



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 64 OF 77

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Lee, Cullen, C, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR823785612671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Middleton, Charles, Fitzhugh, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR823785712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Brown, Mark, Frank, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826797312671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

166.67

TOTAL This Period (last page this line number only).....▶



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Koffer, Thomas, Joseph, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826797812671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Minsky, Ira, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826798212671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Popp, John, G, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3166.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826798512671

Amount of Each Receipt this Period

166.67

☐ Memo Item

P/R Deduction (\$166.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

312.51

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Raifeld, Pavel, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

316.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826798612671

Amount of Each Receipt this Period

16.67

☐ Memo Item

P/R Deduction (\$16.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Wong, David, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826799212671

Amount of Each Receipt this Period

208.33

☐ Memo Item

P/R Deduction (\$208.33 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Chang, Nathanael, J, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

361.22

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826806112671

Amount of Each Receipt this Period

27.78

☐ Memo Item

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

252.78

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Pare, Christopher, D, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

722.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR826807212671

Amount of Each Receipt this Period

55.56

☐ Memo Item

P/R Deduction (\$55.56 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Burke, Neil, P, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

237.50

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR830059012671

Amount of Each Receipt this Period

12.50

☐ Memo Item

P/R Deduction (\$12.50 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Hammond, David, H, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR830059612671

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

172.23

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Lowe, Kevin, E, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR830060112671**

Amount of Each Receipt this Period

104.17

☐ Memo Item

P/R Deduction (\$104.17 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Jacknow, Bret, P., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR850014612671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Young, Warren, S., ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3166.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR850015212671**

Amount of Each Receipt this Period

166.67

☐ Memo Item

P/R Deduction (\$166.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

312.51

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 OF 77

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Connors, Mark, Ryan, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR850015312671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Doolin, Christopher, R, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR850974612671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Goldsmith, William, T, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR850974712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

62.50

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 70 OF 77  
 (check only one)  
☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Rahman, Shahela, F, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

465.29

Date of Receipt

10 / 19 / 2016

Transaction ID : PR850975112671

Amount of Each Receipt this Period

27.78

☐ Memo Item

P/R Deduction (\$27.78 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Thiel, Dennis, D, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

316.73

Date of Receipt

10 / 19 / 2016

Transaction ID : PR850975412671

Amount of Each Receipt this Period

16.67

☐ Memo Item

P/R Deduction (\$16.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**c. Deshpande, Shailesh, Suresh, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1187.50

Date of Receipt

10 / 19 / 2016

Transaction ID : PR852354312671

Amount of Each Receipt this Period

62.50

☐ Memo Item

P/R Deduction (\$62.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

106.95

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 71 OF 77

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Larson, Brad, L, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR852354512671**

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Mauboussin, Michael, J, ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR852355212671**

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Mittal, Gaurav, , ,**

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

277.02

Date of Receipt

10 / 19 / 2016

**Transaction ID : PR852355512671**

Amount of Each Receipt this Period

14.58

☐ Memo Item

P/R Deduction (\$14.58 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

77.08



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 72 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Mullen, Heather, K, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR852355712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Nonas, Demetrius, K, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR852355912671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Sharett, Charles, D, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR852356412671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

83.33

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Smith, Garrett, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR852356512671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Tietz Jr., Paul, Henry, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR852356712671

Amount of Each Receipt this Period

20.83

☐ Memo Item

P/R Deduction (\$20.83 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Brown, Sidney, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR862811212671

Amount of Each Receipt this Period

20.84

☐ Memo Item

P/R Deduction (\$20.84 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

83.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Freylejer, Ezequiel, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

237.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR862811512671

Amount of Each Receipt this Period

12.50

☐ Memo Item

P/R Deduction (\$12.50 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Hudson, Lydie, B, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR862811812671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Mozer, Francine, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR863574812671

Amount of Each Receipt this Period

41.67

☐ Memo Item

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional).....▶

95.84

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Whyte, Paul, M, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR874513612671

Amount of Each Receipt this Period

27.78

☐ Memo Item

P/R Deduction (\$27.78 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Jordan, Tobias, , ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR879708412671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. La Rocca, Nicholas, Dante, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 19 / 2016

Transaction ID : PR879708512671

Amount of Each Receipt this Period

41.66

☐ Memo Item

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

111.10

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 OF 77

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Lee, Charles, Young-gyu, ,

Mailing Address 11 Madison Ave

City  
New York

State  
NY

Zip Code  
10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Credit Suisse Securities (USA) LLC

Occupation (for Individual)  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.31

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 19 / 2016

Transaction ID : PR879708612671

Amount of Each Receipt this Period

208.33

☐ Memo Item

P/R Deduction (\$208.33 Semi-Monthly)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

208.33

TOTAL This Period (last page this line number only)..... ►

10249.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 OF 77  
(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☒ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **A. Friends Of Pat Toomey**

Mailing Address 228 S. Washington St., Suite 115

City  
Alexandria

State  
VA

Zip Code  
22314

FEC ID number of contributing  
federal political committee.

C

C00461046

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For: 2016

☒ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 18 / 2016

**Transaction ID : 14415516**

Amount of Each Receipt this Period

500.00

☐ Memo Item

Refund of 3-29-26 Primary Contribution

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **B. Friends Of Pat Toomey**

Mailing Address 228 S. Washington St., Suite 115

City  
Alexandria

State  
VA

Zip Code  
22314

FEC ID number of contributing  
federal political committee.

C

C00461046

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 18 / 2016

**Transaction ID : 14415517**

Amount of Each Receipt this Period

500.00

☐ Memo Item

Refund of 3-29-16 General Contribution

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

1000.00

**TOTAL** This Period (last page this line number only)..... ►

1000.00